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### Introduction

The purpose of this document is to provide the data product specifications for the geographic component of zones defined according to the chapter 4.4 "Zoning and compartmentalisation" of the WOAH Terrestrial Code.

Specifically, this document consists on precise technical requirements that should be fulfilled to represent a *zone* from the geographical point of view. In particular, it includes general information for zone characterisation as well as information on data content and structure, reference systems, data quality aspects, data capture, maintenance, delivery and metadata.

The content and structure of this document are consistent with the international standards for data product specifications "ISO 19131:2007/Amd. 1:2011(E) Geographic information – Data product specification".

### 1. Overview

#### 1.1. Title

Data product specification document for the zone geographical component.

#### 1.2. Informal description of the data product

According to the WOAH Terrestrial Code – Chapter 4.4 "Zoning and compartmentalisation", a zone is a part of a country defined by the Veterinary Authority, containing an animal population or subpopulation, with a specific animal health status with respect to an infection or infestation for the purposes of international trade or disease prevention or control<sup>1</sup>. The extent of a zone and its geographical limits should be established by the Veterinary Authority on the basis of natural, artificial or legal boundaries, and made public through official channels (Article 4.4.3. paragraph 1). In order to ensure that the precise meaning of a zone geospatial data defined according to chapter 4.4 of the WOAH Terrestrial Code is understood and preserved by stakeholders and users, a data product specification for zone has been defined.

This data product specification has been produced by a network of WOAH Collaborating Centre with the purpose of establishing a standardized geometric description and a comprehensive set of zone attributes. The objective was to define the requirements for exchanging datasets of zones as defined in chapter 4.4 of WOAH *Terrestrial Code* for sharing between WOAH Members and the WOAH headquarters. The data model can and should be extend beyond the requirements set by the network.

#### **1.3.** Terms and definitions

<u>Accuracy</u> - closeness between the result of a test or measurement and the true value [ref.: ISO 19157]. Accuracy usually takes the form of an error estimate such as standard error (standard deviation of the errors) and is distinguished from precision, which relates to the quality of the operation by which the result is obtained.

<u>Attribute</u> - a property of an entity; for example, the colour of a building, the width of a road. Attributes are either quantitative or qualitative.

<u>Application schema</u> – an application schema provides a description of the semantic structure of the dataset identifying the spatial object types and the reference systems required for a complete description of the geographic information [ref.: ISO 19109].

<u>Coordinate reference system</u> - coordinate system that is related to an object by a datum. For geodetic and

 <sup>1</sup> Reference:
 WOAH
 glossary

 https://www.WOAH.int/fileadmin/Home/eng/Health
 standards/tahc/2018/en
 glossaire.htm.
 Accessed 15 April 2024

vertical datum it will be related to the Earth [ref.: ISO 19111].

<u>Data</u> - a representation of facts, concepts, or instructions in a formalized manner suitable for communication, interpretation, or processing by humans or by automated means.

<u>Data format</u> - a specification that defines the order in which data is stored, or a description of the way data is held in a file or record.

**Data model** – an abstraction of the real world that incorporates only the properties deemed relevant to the application at hand. The data model would normally define specific groups of entities, and their attributes and the relationships between these entities. A data model is independent from a computer system and its associated data structure. A map is one example of an analogue data model [ref.: ISO/TC211 ISO 19109].

**Data structure** - in the context of data model, the formal organization of data elements, their attributes and spatial position. The physical structure used to represent the data model.

**Data product specification** – a data product specification is a detailed description of a dataset or dataset series together with additional information that will enable it to be created, supplied to and used by another party. It forms the basis for producing or acquiring data. It also may help potential users to evaluate the data product to determine its fitness for use by them [ref.: ISO 19131].

Data schema – formal description of a data model [ref.: ISO 19109].

Dataset - an identifiable collection of data.

**Domain** – a territory over which rule or control is exercised. A sphere of activity, concern, or function [ref.: ISO 19103].

**<u>Entity</u>** – a real-world feature that is of interest; something about which data is stored.

**Exchange** (data): data exchange is the process of sending and receiving data in such a manner that the information content or meaning assigned to the received data is an accurate representation of the source data.

<u>Feature</u> – abstraction of real world phenomena. It is the starting point for modelling of geographic information and a digital representation of a real world entity or an abstraction of the real world. It has a spatial domain, a temporal domain, or a spatial/temporal domain as one of its attributes. Examples of features include almost anything that can be placed in time and space, including desks, buildings, cities, trees, forest stands, ecosystems, delivery vehicles, snow removal routes, oil wells, oil pipelines, oil spill, and so on. Features are usually managed in groups as feature collections. The terms feature and object are often used synonymously [ref. ISO 19101].

**Feature type** – a class that specifies sets of spatial objects with common properties and operations applicable to the objects [ref.: ISO 19156].

<u>Feature attribute</u> – characteristic of a feature. NOTE: A feature attribute has a name, a data type and a value domain associated to it [ref. ISO 19101].

<u>Geospatial data</u> - is an information that describes objects, events or other features with a location on the surface of the earth. Geospatial data typically combines location information (usually coordinates on the earth) and attribute information (the characteristics of the object, event or phenomena concerned) with temporal information (the time or life span at which the location and attributes exist).

<u>Item</u> - anything that can be described and considered separately. An item can be a part of a dataset, such as a feature, feature relationship, feature attribute or combination of these [ref.: ISO 19157].

Metadata - information about a resource [ref.: ISO 19115-1].

<u>**Object</u>** - the physical representation of a feature entity corresponding to a feature and associated attributes. <u>**Portrayal**</u> - presentation of information to humans [ref.: ISO 19117].</u> <u>Quality</u> - totality of characteristics of a product that bear on its ability to satisfy stated and implied needs [ref.: ISO 19101].

**Schema matching** = **data matching** = schema matching is the process of identifying that two objects are semantically related in order to find the possible method/process/software for the transformations between the objects.

**Semantic** - the semantic aspect refers to the meaning of data elements and the relationship between them. It includes developing vocabularies and schemata to describe data exchanges, and ensures that data elements are understood in the same way by all communicating parties.

<u>Syntactic</u> - the syntactic aspect refers to describing the exact format of the information to be exchanged in terms of grammar and format.

<u>Service</u> – a computation performed by a software entity on one side of an interface in response to a request made by a software entity on the other side of the interface. A collection of operations, accessible through an interface that allows a user to evoke behaviour of value to the user [ref.: ISO 19119].

**<u>Spatial object</u>** – an abstract representation of a real-world phenomenon related to a specific location or geographical area.

**<u>Standard</u>** – A standard is a documentation established by consensus and approved by an accredited standards body nationally or internationally recognized by industrial, professional, trade, or governmental organizations. Standards reflect agreements on products, production method, terminology, practices, services [ref.: ISO/IEC Guide 2:2004, ISO/TS 19159-1].

<u>Transfer</u> (data): transfer refer to the exchange of any information that is transferred from one location to another through some communication method. Data transfer is most often used to share data among business partners, suppliers, or government agencies for cooperative purposes.

<u>Unified Modelling Language (UML)</u> – an open modelling standard for conceptual schema language defined and maintained by the Object Management Group [ref.: ISO .24622-1].

<u>Universe of discourse</u> – view of the real or hypothetical world that includes everything of interest [ref.: ISO 19101.].

<u>Use case scenario</u> - a possible sequence of real world events used as a test case for specifying or testing information systems designed to help manage such events [ref.: ISO 20077-1].

#### **1.4. International standards**

WOAH international standards (Chapter 4.4.)- WOAH Terrestrial Animal Health Code (2024)

- ISO 19101: Geographic information Reference model -- Part 1: Fundamentals
- ISO 19103: Geographic information Conceptual schema language
- ISO 19106: Geographic information Profiles
- ISO 19107: Geographic information Spatial schema
- ISO 19108: Geographic information Temporal schema
- ISO 19109: Geographic information Rules for application schema
- ISO 19110: Geographic information Methodology for feature cataloguing
- ISO 19111: Geographic information Referencing by coordinates
- ISO 19115: Geographic information Metadata -- Part 1: Fundamentals
- ISO 19117: Geographic information Portrayal
- ISO 19125-1: Geographic information Simple feature access Part 1: Common architecture
- ISO 19131: Geographic information Data product specifications
- ISO 19157: Geographic information Data quality

### 1.5. Acronyms and abbreviations

| Zone (in italic) | zone defined according to the WOAH zoning procedure as described in chapter 4.4 of the Terrestrial Code |
|------------------|---|
| GeoZone          | data product specifications for the geographic information related to the zone                          |
| ISO              | International Organisation for Standardisation  |
| UML              | Unified Modelling Language  |
| GI               | Geographic Information  |
| GIS              | Geographic Information System   |
| IT               | Information and Communications Technology   |
| WOAH             | World Organisation for Animal Health  |

### 1.6. Data Product Specification - Metadata

| Title       | Zone geospatial data   |  |
|-------------|--|--|
| Identifier  | 4.1  |  |
| Date        | 15.04.2024   |  |
| Language    | English  |  |
| Contact     | Istituto Zooprofilattico Sperimentale delle Venezie                              |  |
|             | Viale dell'Università,10   |  |
|             | 35020 Legnaro (PD), Italy  |  |
|             | https://www.izsvenezie.it/   |  |
|             | gis@izsvenezie.it  |  |
| Maintenance | The product specification is maintained by Istituto Zooprofilattico Sperimentale |  |
|             | delle Venezie  |  |

### 1.7. Disclaimer

The views expressed in this document are those of the authors and do not necessarily reflect the views or policies of WOAH.

### 2. Specification scope

GeoZone aims to enable exchange of *zone* geospatial data among national and international systems and GIS users.

The rules defined in this specification ensure transparency and methodological coherence for the creation, verification and exchange of *zone* geospatial data.

#### 2.1. In scope

GeoZone provides a set of specifications to assist WOAH Members in the semantic and syntactic definition of *zone* attributes and in providing an encoding solution to enable the exchange of *zone* geospatial data between Members (e.g. bilateral recognition of country or zone status by trading countries. Reference Article 4.4.8.) and to and from a WOAH information system<sup>2</sup>.

#### 2.2. Field of application

*Zone* geospatial data organised according to the GeoZone specifications can be used in GIS applications for inventory<sup>3</sup> and visual explorative spatial analysis<sup>4</sup> purposes.

#### 2.3. Out of scope

The specification of information that is not relevant to the geographical characterization of a *zone* is it is out of GeoZone's scope. In particular, GeoZone is not designed to acquire and manage detailed information about disease response, biosecurity plans, surveillance procedures<sup>5</sup>, animal traceability or any other activity performed by the Veterinary Authority within a *zone*.

This data product specification does not specify the production process, but only the resulting data product. Nevertheless, it includes maintenance aspects necessary to describe the dataset exchange among WOAH Members and to and from a WOAH information system.

#### 2.4. Modelling assumptions

The data model proposed in this specification is the outcome of analysing a set of use cases that were defined within a network of WOAH Collaborating Centres. The use cases are presented in Annex F – Use cases.

#### 2.4.1. Zone characterisation

A *zone* is characterised by an area, a designation period, the concerned animal disease, and the type of zone established (e.g.: free zone, infected zone).

#### 2.4.2. Operational requirement

<sup>&</sup>lt;sup>2</sup> A WOAH information system to manage zone geospatial data is not present at the time this document was prepared, however, according to the use cases developed during the preparatory phase of this document, it is envisaged that a centralized system dedicated to collect, integrate and publish *zone* geospatial data is present.

<sup>&</sup>lt;sup>3</sup> GIS inventory is essentially a catalogue of geospatial data. Its primary purpose is to track the availability and the status of collected spatial data. A GIS inventory for the zones geographical component (i) provides spatial and non-spatial information about zones, (ii) enables the representation, through choropleth maps, of the spatial distribution of zones according to the defined zone's characteristics (e.g.: disease, type of zone, etc.), and (iii) enhances the improvement of the accuracy and of the organisation of the stored *zone* data.

<sup>&</sup>lt;sup>4</sup> The visual explorative spatial analysis of zone spatial data enables the evaluation of the spatial and temporal evolution of zones, either as a preliminary step toward the study of spatial patterns of zones and for identifying global trends and local outliers.

<sup>&</sup>lt;sup>5</sup> All regular activities aimed at ascertaining the health status of a given population with the aim of early detection and control of animal diseases of importance to national economies, food security and trade.

- 1. This standard has been produced with the assumption that each Member uses different hardware, software and data structure to manage *zone* geospatial data, including different coordinate reference systems (sometimes more than one in each Member), languages and character sets.
- 2. Member manages *zone* geospatial data to meet national and international requirements.
- 3. Correspondences between Member's existing *zone* geospatial data and the data structure defined in this specification can be established by various tools of data matching (e.g. data mining, formal specifications) and transformations (e.g. generalisation, matching geometries).
- 4. Geographic staff involved in the development of *zone* geospatial data are encouraged to recognize the benefits of aligning their data structures, feature coding schemes and attribute coding schemes with these specifications.
- 5. This standard has been optimised to facilitate the transfer of zones datasets.

#### 2.4.3. Logical consistency

Logical consistency deals with logical rules of structure and attributes for spatial data and describes the compatibility between dataset items. In this data product specification, the definition of the spatial data integrity rules is provided as free text in the natural language in order to improve the readability of the rules.

The data integrity rules are classified in 4 classes: (I) operation, (II) spatial relationship, (III) temporal integrity, (IV) attribute data integrity.

#### 2.4.3.1. Operation.

Operational class is defined to express specific actions for geographic data. Operations are given as follows:

- <u>New zone</u>. This operation refers to the creation of a geospatial data for a zone different (in coverage and/or attribute values) from existing zones established by a Member.
- <u>Update the geometry of an existing *zone*</u>. This operation implies the closing of the existing *zone* by setting the "end date" value and the creation of a new *zone*.
- <u>Update the attributes of an existing zone</u>. This operation implies the closing of the existing zone by setting the "end date" value and the creation of a new zone
- <u>Close an existing zone</u>. To set the "end date" value to the attribute "zone date validity" of an existing *zone*.
- <u>Amend incorrect data</u>. This operation implies replacing the existing *zone* values with the correct one.
- <u>Remove a wrong zone</u>. This operation implies the cancellation of the feature from the dataset.

#### 2.4.3.2. Spatial relationship.

Spatial relationships are used to describe the state between two spatial objects. The spatial relationships are given as follows:

- <u>Within</u>. *Zones* must be within the country boundary that established the *zone*.
- <u>Equal</u>. Two active *zones* can have the same geometry only if the disease and/or the zone type values are different.
- <u>Overlaps or contains</u>. The description of overlaps or contains spatial relationship will be established according to the pilot outcomes.

#### 2.4.3.3. Temporal integrity.

The "end date" value of an existing *zone* must be greater than the" begin date" value.

#### 2.4.3.4. Attribute data integrity.

All the mandatory attributes must have a valid value.

### 3. Data content and structure

#### 3.1. Description

A single spatial type of object called «ZoneGeospatialData» has been defined to spatially represent the *zone*. ZoneGeospatialData contains the following<sup>6</sup>:

3.1.1. **Geometry** [M]: the geometry represents the spatial extent of ZoneGeospatialData. The geometry shall be represented as multiple polygons<sup>7</sup>. A Multiple polygon corresponds to a collection of polygons. A polygon is defined as "a simple planar surface with one exterior boundary and none or more interior boundaries. Each interior boundary represents a hole in the polygon"<sup>8</sup>.

The assertions for the geometry property (the rules that define valid *zone*) are as follows:

- 3.1.1.1. A *zone* can be composed of one or more polygons.
- 3.1.1.2. The polygon/s boundary/ies represent(s) the *zone* limit.
- 3.1.1.3. The polygon boundary can be created with multiple segments or as a result of a buffer function.
- 3.1.1.4. A *zone* may coincide with one or more administrative boundaries.
- 3.1.1.5. Examples of valid geometry are presented in the following figure:

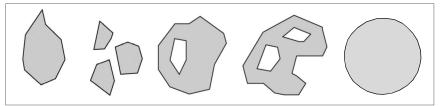


Figure 1. Examples of geometric objects representable as instances of MultiPolygons

- 3.1.2. Accuracy [M]: for some data provider and for some zone types (e.g. infected zones) it is required to ensure confidentiality on zone geographical limits. Therefore it is allowed to represent not exact zone boundaries. This attribute is used to inform whether the zone boundaries are "accurate" (following the zone boundaries exactly) or "inaccurate" (not being in agreement with real zone boundaries).
- 3.1.3. **Zone type** [M]: it provides the classification of the *zone* according to the definition included in the WOAH Terrestrial Code. In particular, this property refers to the type of *zones* described in articles 4.4.4 (Free zone), 4.4.5 (Infected zone), 4.4.6 (Protection zone), and 4.4.7 (Containment zone) of the WOAH Terrestrial Code. However, other types of *zones* may be established by Members for the purposes of disease control and/or trade. For this reason, the zone type list shall be an extensible WOAH-governed code list<sup>9</sup>. The intention to allow the zone type code list

<sup>&</sup>lt;sup>6</sup> "M" indicates that the element is mandatory; an "O" indicates that the element is optional.

<sup>&</sup>lt;sup>7</sup> Multiple polygon (MultiPolygon) is the instantiable subclass of MultiSurface whose elements are Polygons. MultiSurface is introduced as abstract/non-instantiable superclass in clause 6.1.12 of ISO 19125 Geographic information — Simple feature access — Part 1: Common architecture

<sup>&</sup>lt;sup>8</sup> Reference: Geographic information – Simple feature access – Part 1: Common architecture; Clause 6.1.13

<sup>&</sup>lt;sup>9</sup> Code lists are used extensively in the GeoZone data models. All GeoZone code lists are governed by WOAH (i.e. store, managed and updated by WOAH). They can be classified according to their extensibility:

<sup>-</sup> not extensible (none)

<sup>-</sup> extensible with narrower values (narrower).

to be extensible is to enable Members' ability to propose any dataset they identify that falls within the scope of the chapter 4.4 of the WOAH Terrestrial Code.

- 3.1.4. **Zone Subtype** [O]: this allows more specific classification of the zone (e.g.: negligible risk zone, controlled risk zone for BSE free zone). The zone subtype list shall be an extensible WOAH-governed code list.
- 3.1.5. **Zone Status** [O]: This attribute is used only for free zone and for AHS, BSE, CSF, CBPP, FMD and PPR diseases. It specifies whether the free zone is at proposed status, or officially recognised by WOAH.
- 3.1.6. **Disease** [M]: a disease is at the basis of the decision to establish the *zone*. The diseases list shall be a WOAH-governed code list<sup>10</sup>.
- 3.1.7. **Species** [M]: list of species considered in the zone. It refer to the concept of "animal subpopulation<sup>11</sup>" defined in Chapter 4.4 (i.e. avian, bees, bovine, equine, lagomorph, sheep and goats, swine, other animals, wild animals).
- 3.1.8. **Designation period** [M]: the time when the *zone* is legally designated or become effective. The designation period requires a *start* and an *end* date.

The assertions for the designation period property (the rules that define valid *zone*) are as follows:

- 3.1.6.1. The "start" date shall be defined (i.e. a date is provided) from the beginning (i.e. when the *zone* is established).
- 3.1.6.2. Usually, when a *zone* is established, it does not have a value for the "end" date. An "indeterminate position" value shall be used in the "end" date to state that the *zone* is still effective.
- 3.1.6.3. The designation period information shall be used to represent the status of a *zone* (e.g. ongoing, closed).
- 3.1.9. **Control measures** [M]: measures are implemented within the *zone*. The list of measures shall be defined in accordance with the relevant disease-specific chapter of the WOAH Terrestrial Code.
- 3.1.10. Local identifier [M]: a local identifier, assigned by the data provider. This is <u>not</u> a unique spatial object identifier. The local identifier shall not be changed during the life-cycle of the *zone* geospatial data.
- 3.1.11. **Country** [M]: name of the Member and non-Members which established the *zone*. The countries list shall be a WOAH-governed code list.
- 3.1.12. **Geographical names** [O]: geographical name is used to identify the zone in the real world. It provides a 'key' for implicitly associating different representations of the object. In case the *zone* coincides with one or more administrative boundaries, this attribute can be used to provide the list of administrative units' names.

#### 3.2. UML overview

<sup>&</sup>lt;sup>10</sup> The WOAH Terrestrial Code - Chapter 1.3 – Diseases, Infections and Infestations listed by the WOAH. Can be used as reference for the disease list definition.

<sup>&</sup>lt;sup>11</sup> Subpopulation: means a distinct part of a population identifiable in accordance with specific common animal health characteristics. [reference: https://www.woah.org/en/what-we-do/standards/codes-and-manuals/terrestrial-code-online-access/?id=169&L=1&htmfile=glossaire.htm#terme\_sous\_population]

The application schemas included in this section are specified in UML version 2.3 class diagrams.

#### 3.2.1. Stereotypes

Above the name of classes in the application schema of figure 2, there is a stereotype name in quotes. Stereotypes are used to extend the basic UML elements and give them different meanings. In the ZoneGepospatialData application schema four types of stereotypes are used:

- FeatureType: represents geographic object types. It is an XML element whose XML Schema-type is derived from gml:AbstractFeatureType (ISO 19136);
- DataType: XML element with a complex content model;
- **Abstract**: an abstract class is a class used as a basis for creating specific objects that conform to its protocol, or the set of operations it supports. Abstract classes are not instantiated directly;
- **CodeList**: stereotype that represents an extendable list of possible values.

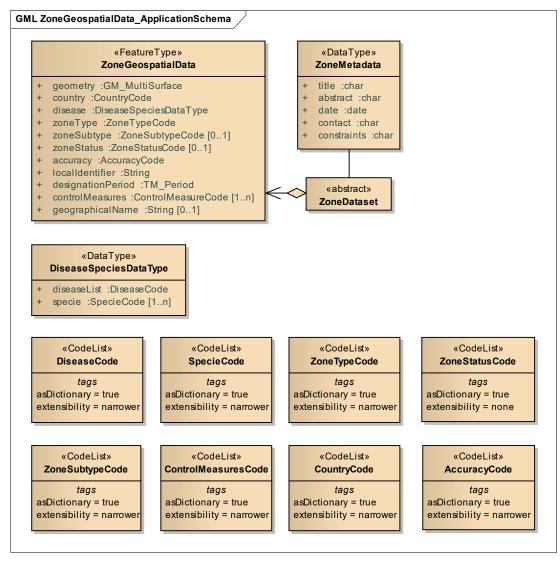


Figure 2. UML class diagram: overview of the zone application schema

#### 3.2.2. Code list

A code list type is a list of valid identifiers of named literal values which may be extended only in conformance with specified rules. These classes will be managed at WOAH level.

#### 3.2.3. Language

The language used in the code lists classes is English.

#### 3.2.4. Zone identifier

The GeoZone data specifications do not include a unique object identifier. However, an external unique object identifier, which may be used by external application to reference the spatial object, can be defined by combining the information included in the metadata and the feature class values.

In GeoZone, the external unique object identifier is composed of two parts:

- A namespace to identify the data source (the value in the *namespace* attribute of metadata data type);
- A local identifier assigned by the data provider (the value in *localId* attribute of GeoZone feature type).

A local identifier is assigned by a data provider and is unique within the namespace (i.e., no other spatial object carries the same unique identifier). It is the responsibility of the data provider to guarantee uniqueness of the local identifier within the namespace. The local identifier can use combination of country codes, diseases or other characters.

The namespace defines the data source. It can consist of two parts: the first part identifies the Member Country and the second part is used to distinguish between different data sources maintained and provided by the Member Country.

| Local identified | namespace   | description   |
|------------------|-------------|---|
| ITA_AI_0001      | ITA_VetINFO | <ul> <li>the first avian influenza infection zone of 2022.</li> <li>the namespace is the VetINFO system of Italian Health<br/>Ministry</li> </ul> |
| ITA_001          | ITA_MinSal  | A spatial object from the dataset of the Italian Health Ministry  |

Table 1. Example of zone identifier structure.

As the local identifier is not the unique external object identifier, and recognizing the need for centralized data management systems to have a unique identifier, Annex E – GeoZone shape file schema, include a specific paragraph describing the characteristics of a possible unique identifier attribute that can be used as a unique worldwide identifier of zone feature records.

#### 3.2.5. Geometry representation

Geometry representation (indicated in the Zoning featureType as GM\_Object) refers to the Simple Feature spatial schema as defined in ISO 19107.

This specification restricts the spatial schema to multi polygons (MultiPolygons).

#### 3.2.6. Temporality representation

The designationPeriod uses the TM\_Period property following ISO 19108:2006. This property distinguishes the "beginPosition" from the "endPosition". The "beginPosition" attribute specifies the date when the zone becomes valid, while the "endPosition" attribute specifies the date when the zone cases to be valid.

The Gregorian calendar is used as temporal reference system, in accordance with ISO 19108.

#### **3.3.** Feature catalogue

The feature catalogue elements are presented in Annex A – Feature catalogue.

### 4. Coordinate reference system

The coordinate reference system used for this product specification is World Geodetic System 1984 (WGS 84) which is defined by the European Petroleum Survey Group (EPSG) code 4326 (https://epsg.io/4326).

### 5. Temporal Reference Systems

The purpose of this data model is to provide a clear and well-defined method for representing dates. Therefore, to avoid misinterpretation in the numeric dates representations, especially during data transfer between Members with different conventions. Therefore, the data shall be organised in a way that the largest temporal term (the year) appears first in the data string, progressing to the smallest term (the second). Example. 1968-06-27 shall be used to refer to 27<sup>th</sup> June 1968<sup>12</sup>.

### 6. Data quality

Zone geospatial data is always compiled from the best available sources, and undergoes specific checks by the data producer prior to release. These checks include data format validation, conformance to standards checks and logical consistency checks. Detailed checks are listed in Annex B - Quality measure elements.

The approach to organize information about data quality is based on ISO 19157 Geographic information – Data quality.

### 7. Data capture

Data providers collect zone geospatial data using their own processes, ensuring necessary transformation to meet the current specifications.

If zones coincides with administrative limits, data providers should refer to the most recent WAHIS GADM geospatial dataset.

To promote information sharing and ensuring confidentiality, mechanisms for providing not exact boundary limits are allowed, including scaling administrative limit<sup>13</sup>, generalisation, and masking.

#### 8. Data maintenance

GeoZone provides a set of specifications to enable the exchange of zone geospatial data. The exchanged dataset should be considered to contain in-force and valid zones until a new dataset is issued by the data provider. The zone data is effective as of the date of submission and contains only valid zones.

Data updates will be made through new editions of exchanged zone dataset. The maintenance and update frequency of the exchanged zone datasets should be defined by the data provider in agreement with the recipient of the datasets.

This data product specification does not specify the zone data management process. Nevertheless, to facilitate the correct use of exchanged of zone data, any zones that need updating from the previous issued dataset (the type of data update is defined in paragraph 2.4.3.5 – Operation class) shall be set as closed, and a new zone should be issued. Using this approach, every dataset is self-contained and allows for data usage without the need to know the previous state of the zone.

If individual instances of features requires updating, maintenance of histories, or change tracking, the methods for update, history management, change tracking and display are left to a centralised application

<sup>&</sup>lt;sup>12</sup> ref: ISO 19108 clause 5.4.4.1

<sup>&</sup>lt;sup>13</sup> Listed the administrative units involved in the zone or use a les finer administrative limit (e.g. province instead of municipality)

or production system. In Annex E – GeoZone shape file schema, a specific paragraph is dedicated to describe a possible solution to manage the data updating process.

### 9. Portrayal

This clause defines the styles for portraying *zones* based on attributes such as *zoneType*, *ZoneSubType* and *zoneStatus*. Styles for the layer are provided in Annex C – Styles for the main Zones classification values.

### 10. Data product delivery

Standard encoding will be performed using an XML grammar, defined by an XML Schema in accordance with the data scheme. Geographic data will be serialized using the MultiSurface type of the ISO GML standard, restricted to 2D polygons. The employ of GML is aimed to express geographical features with a common standard, empowering open interexchange of data.

All software services will accept XML data as data source, however, in order to improve data exchange with organisations missing a deep knowledge of geographic data manipulation, alternatives data format, based on ESRI shapefile (SHP) or Well Know Text format (WKT), are possible.

Annex E – GeoZone shape file schema provides a possible implementation of the GeoZone data model into a shape file data format.

### 11. Metadata

This chapter defines metadata elements for describing the *zone* dataset exchanged. The core metadata elements are listed below: a "M" indicates that the element is mandatory; an "O" indicates that the element is optional.

| GeoZone implementing rule | Description   | Obligation |
|---------------------------|---|------------|
| title                     | Dataset title. Name by which the dataset is known   | М          |
| abstract                  | Brief narrative summary of the content of the   | 0          |
|                           | dataset.  |            |
| date                      | it is the date when the dataset was created   | 0          |
| namespace                 | Identification of the information system used to<br>manage the zone. Namespace uniquely identifying<br>the data source of the spatial object. The<br>namespace is owned by the Member Country | М          |
| constraints               | Constraints related to access and use of dataset. A list of possible different categories of constraints applicable to the dataset is provided in annex D                                     | о          |

#### Table 2. Metadata list of elements

# Annex A – Zone geospatial data feature catalogue

### Feature catalogue

| Name:           | Zone geospatial data Feature Catalogue |  |
|-----------------|--|--|
| Scope:          | Zone geospatial data                   |  |
| Version number: | 4.0                                    |  |
| Version date:   | 2023-09-29                             |  |

#### Feature type: ZoneGeospatialData

| Name:                      | ZoneGeospatialData   |
|----------------------------|--|
| Definition:                | Area defined by a Veterinary Authority that represents the territorial extent where some specific measures or activities are in place for disease prevention or control and/or international trade purposes.   |
| Attributes                 |  |
| Name:                      | Geometry   |
| Value type:                | GM_Object  |
| Definition:                | The geometry represents the spatial extent of a zone. GM-Object shall be encoded as GM_MultiPolygon.   |
| Multiplicity:              | 1  |
| Name:                      | Country  |
| Value type:                | CountryCode  |
| Definition:                | Country which established the zone.  |
| Multiplicity:              | 1  |
| Name:                      | Disease  |
| Value type:                | DiseaseCode  |
| Definition:                | Information about the disease at the basis of the decision to establish the zone. WOAH   |
|                            | governs the list of terrestrial animal diseases.   |
| Multiplicity:              | 1  |
| Name:                      | zoneType   |
| Value type:                | ZoneTypeCode   |
| Definition:                | It provides the classification of the zone according to the definition included in the WOAH Terrestrial Code. In particular, this property refers to the type of zones described in articles 4.4 of the WOAH Terrestrial Code.   |
| Multiplicity:              | 1  |
| Name:                      | zoneSubtype  |
|                            |  |
| Value type:<br>Definition: | ZoneSubtypeCodeAdditional classification value which further specialises the zone type.NOTE: This value should be derived from a relevant domain-specific controlled vocabularyEXAMPLE: for a Bovine spongiform encephalopathy (BSE) zone, where the zoneType value= "free" could be further classified as either:zoneSubtype = negligibleRiskzoneSubtype = ControlledRisk |
| Multiplicity:              | 0.1  |
| Name:                      | accuracy   |
| Value type:                | accuracyCode   |
| Definition:                | This attribute is used to inform whether the zone boundaries are "accurate" (following the zone boundaries exactly) or "inaccurate" (not being in agreement with real zone boundaries)   |

| Multiplicity: | 1  |
|---------------|--|
| Name:         | ZoneStatus   |
| Value type:   | ZoneStatusCode   |
| Definition:   | This attribute is used only for free zone. It specifies whether the free zone is at "proposed" status, or is "officially recognised" by WOAH (this is applied for AHS, BSE, CSF, CBPP, FMD and PPR diseased), or if is published as a self-declaration (according to article 1.6.3 of the WOAH Terrestrial Code)   |
| Multiplicity: | 1  |
| Name:         | designationPeriod  |
| Value type:   | TM_Period  |
| Definition:   | This information specifies the time when the zone is legally designated or become effective.<br>DesignationPeriod uses the ISO 19108 TM_Period which is comprised of two properties - gml:beginPosition and gml:endPosition.   |
|               | If the zone shall remain in force for an indeterminate period of time then the endPosition/indeterminatePosition="unknown" can be used to state that the zone is still effective.  |
| Multiplicity: | 1  |
| Name:         | controlMeasures  |
| Value type:   | ControlMeasuresCode  |
| Definition:   | Measures that are implemented within the zone.   |
| Multiplicity: | 1*   |
| Name:         | localIdentifier  |
| Value type:   | char   |
| Definition:   | Identifier published by the data provider. The local Identifier value cannot be used as unique identifier of the zone spatial object.  |
| Multiplicity: | 1  |
| Name:         | geographicalName   |
| Value type:   | char   |
| Definition:   | Geographical name is used to identify the zone in the real world. The geographical name can coincide with the administrative unit(s) limit. If the zone coincides with a geographical unit, the geographicalName value is equal to the administrative unit's name. If the zone coincides with two or more geographical units, the geographicalName value is the list of administrative unit's names (comma separated). If the zone coincides with the whole country the geographicalName value is the name of the country. |
| Multiplicity: | 0*   |

### Data type: Zone dataset Metadata

| Name:         | ZoneMetadata  |  |  |
|---------------|---|--|--|
| Definition:   | Contains metadata information about the zone dataset.     |  |  |
| Attributes    |   |  |  |
| Name:         | title   |  |  |
| Value type:   | char  |  |  |
| Definition:   | Name by which the data set is known by the data provider. |  |  |
| Multiplicity: | 1   |  |  |
| Name:         | abstract  |  |  |
| Value type:   | char  |  |  |
| Definition:   | Brief narrative summary of the content of the data set.   |  |  |
| Multiplicity: | 01  |  |  |
| Name:         | date  |  |  |

| Value type:   | date   |  |  |  |
|---------------|--|--|--|--|
| Definition:   | Date when the dataset was established  |  |  |  |
| Multiplicity: | 01   |  |  |  |
| Name:         | contact  |  |  |  |
| Value type:   | char   |  |  |  |
| Definition:   | Identification of, and means of communication with the organisation associated with the dataset. The information about the contact should be aligned with the principles relating to processing of personal data |  |  |  |
| Multiplicity: | 1  |  |  |  |
| Name:         | constraint   |  |  |  |
| Value type:   | char   |  |  |  |
| Definition:   | A list of possible different categories of constraints (separated by a semicolon ";") applicable to the data set   |  |  |  |
| Multiplicity: | 01   |  |  |  |

#### Data type: DiseaseSpecieDataType

| Name:         | DiseaseSpecieDataType  |  |  |
|---------------|--|--|--|
| Definition:   | This data type contain the information to specify the disease and the related species.   |  |  |
| Attributes    |  |  |  |
| Name:         | diseaseList  |  |  |
| Value type:   | DiseaseCode  |  |  |
| Definition:   | Information about the disease at the basis of the decision to establish the zone.<br>WOAH governs the list of valid disease values. Chapter 1.3 of the WOAH Terrestrial Code<br>can be considered as the basis of the WOAH disease list (the valid disease list can be grater<br>that the list mentioned in Chapter 1.3 of the WOAH Terrestrial Code). |  |  |
| Multiplicity: | 1  |  |  |
| Name:         | specie   |  |  |
| Value type:   | SpecieCode   |  |  |
| Definition:   | List of susceptible animal species populations, domestic or wild, considered in the zone.<br>WOAH governs the list of valid specie values  |  |  |
| Multiplicity: | 1*   |  |  |

#### Abstract: ZoneDataset

| Name:       | ZoneDataset   |  |
|-------------|---|--|
| Definition: | Abstract class used to link the geospatial dataset with the related metadata. |  |

#### Code list: accuracyCode

| Name:<br>Description:<br>Extensibility: | Accuracy code list<br>This code list defines the type of zone's accuracy. It defines the degree of concordance of<br>the zone boundaries represented in the feature respect to the official boundary limits.<br>None |  |  |  |
|---|--|--|--|--|
| Values:                                 | label description  |  |  |  |
|   | ACCURATE The zone follows exactly the boundaries   |  |  |  |
|   | INACCURATE   | The zone is not being in agreement with the official boundaries.<br>Inaccurate boundary are used when the data provider does not want to<br>share the extent of sensible zones (e.g. infected zones) to third parties. |  |  |

| Name:<br>Description:<br>Extensibility: | <b>Zone type code list</b><br>This code list defines the types of zones. Four types of zones are already defined by<br>WOAH: Free, Infected, Protection, and Containment. Members can establish different<br>types of zones. Therefore, the list is extensible and governed by WOAH. The code list is<br>extensible to enable data providers to publish any type of zone they identify that falls<br>within the scope of Chapter 4.4.<br>Narrower |   |  |
|---|---|---|--|
| Values:                                 | label   | description   |  |
|   | An infected zone is one in which either an infection or in<br>been confirmed, or that is defined as such in the relevan<br>the Terrestrial Code (Article 4.4.5.).   |   |  |
|   | CONTAINMENT   | In the event of outbreaks in a country or zone previously free from a disease, a containment zone, may be established to minimise the impact on the rest of the country or zone.<br>A containment zone includes all epidemiologically linked outbreaks and is managed in such a way that commodities for international trade can be shown to have originated from either inside or outside the containment zone (Article 4.4.4.). |  |
|   | FREE  | A free zone is one in which the absence of a specific infection or infestation in an animal population has been demonstrated in accordance with the relevant requirements of the Terrestrial Code (Article 4.4.4.).   |  |
|   | PROTECTION  | A protection zone may be established to preserve the animal health<br>status of an animal population in a free country or a free zone by<br>preventing the introduction of a pathogenic agent of a specific<br>infection or infestation from neighbouring countries or zones of<br>different animal health status (Article 4.4.4.).   |  |

### Code list: ZoneTypeCode

#### Code list: ZoneSubtypeCode

| Name:<br>Description:<br>Extensibility: | <b>Zone subtype code</b><br>Additional classification value that further specialises the type of zone.<br>Narrower |   |  |
|---|--|---|--|
| Values:                                 | label  | description   |  |
|   | BSE_NEGLIGIBLE_RISK  | Commodities from the cattle population of a country or zone pose a negligible risk of transmitting the BSE agent if the conditions expressed in Article 11.4.3 are met. |  |
|   | BSE_CONTROLLED_RISK  | Commodities from the cattle population of a country or zone pose a controlled risk of transmitting the BSE agent if the conditions expressed in Article 11.4.4 are met. |  |
|   | BSE_UNDETERMINED_RISK  | The cattle population of a country or zone poses an<br>undetermined BSE risk if it cannot be demonstrated that it<br>meets the requirements of another category.        |  |

#### Code list: ZoneStatusCode

Name: Zone status code list

Description: This code list defines the status of a free zone for the African horse sickness (AHS), bovine spongiform encephalopathy (BSE), classical swine fever (CSF), contagious bovine pleuropneumonia (CBPP), foot and mouth disease (FMD) and peste des petits ruminants (PPR) diseases.

| Extensibility: | None                          |   |
|----------------|-------------------------------|---|
| Values:        | label                         | description   |
|                | OFFICIALLY_RECOGNISED         | According to the article 1.6.1 of the WOAH<br>Terrestrial Code, the country or the zone can<br>be officially recognised by WOAH for freedom<br>from AHS, CSF, CBPP, FMD and PPR or the risk<br>status with regard to BSE  |
|                | OFFICIAL_RECOGNITION_PROPOSED | In the frame of the Standard Operating<br>Procedure, when a Member Country requests<br>official recognition of animal health status for<br>a zone, the geographical boundaries of the<br>proposed zone should be clearly defined. The<br>geospatial data that represent the<br>geographical boundaries of the proposed zone<br>are set to proposed until the zone is officially<br>recognised |

#### Code list: CountryCode

| Name:<br>Definition: | <b>Country code list</b><br>The Country code list specifies the name of the WOAH members which established the<br>zone. The three-letter code (alpha-3) of ISO 316 - Country Codes is used define the<br>country code |         |  |  |
|----------------------|---|---------|--|--|
| Extensibility:       | None  | None    |  |  |
| Values:              | label description   |         |  |  |
|                      | AFG Afghanistan   |         |  |  |
|                      | ALB Albania   |         |  |  |
|                      | DZA   | Algeria |  |  |
|                      |   |         |  |  |

#### Code list: DiseaseCode

| Name:<br>Definition:<br>Extensibility: | <b>Disease code list</b><br>The Disease code list specifies the disease at the basis of the decision to establish the zone.<br>This code list shall be totally aligned with a future WOAH governed disease list.<br>None |   |  |  |
|--|--|---|--|--|
| Values:                                | label description  |   |  |  |
|  | 1  | Anthrax                                 |  |  |
|  | 2  | Infection with Aujeszky's disease virus |  |  |
|  | 3  | Infection with bluetongue virus         |  |  |
|  |  |   |  |  |

#### Code list: SpeciesCode

| Name:<br>Definition: | <b>Specie code list</b><br>List of animal subpopulation. It is possible to manage multiple species of animals. This dictionary provides the list of species. |             |  |  |
|----------------------|--|-------------|--|--|
| Extensibility:       | None   |             |  |  |
| Values:              | label  | description |  |  |
|                      | 1  | avian       |  |  |
|                      | 2     bees       3     bovine  |             |  |  |
|                      |  |             |  |  |
|                      | 4  | equine      |  |  |
|                      | 5  | lagomorph   |  |  |
|                      | 6 sheep and goats  |             |  |  |
|                      | 7     swine       8     other animals  |             |  |  |
|                      |  |             |  |  |
|                      | 9 wild animals   |             |  |  |

#### Code list: ControlMeasuresCode

| Name:<br>Definition: | <b>Control measures code list</b><br>This code list defines the type of measures implemented by members in the zone at the time of its establishment. Different types of measures can be established by members in   |                  |  |
|----------------------|--|------------------|--|
|                      | time of its establishment. Different types of measures can be established by members in<br>a zone. The list is extensible to enable members to publish any measure they establish<br>in the zone.<br>The list of possible control measure should be derived from the relevant Terrestrial Code<br>articles |                  |  |
| Extensibility:       | Narrov   |                  |  |
| Values:              | label  | description      | Definition   |
|                      | 1  | Stamping-out     | <ul> <li>A policy designed to eliminate an outbreak by carrying out the following under the authority of the Veterinary Authority: <ol> <li>Killing animals that are affected and those suspected of being affected in the herd or flock and, where appropriate, those in other herds or flocks exposed to infection by direct animal-to-animal contact or indirect contact with the causal pathogenic agent. Animals should be killed in accordance with Chapter 7.6.;</li> <li>Disposing of carcasses and, where relevant, animal products by rendering, burning, burial, or by any other method described in Chapter 4.13.;</li> <li>Cleansing and disinfecting establishments through procedures defined in Chapter 4.14.</li> </ol> </li> <li>The measure also includes the depopulation of outbreaks, selective killing of animals within the farm/establishment (e.g. test and cull), and preventive/pre-emptive culling of at-risk animals and/or farms</li> </ul> |
|                      | 2  | Movement control | Restrictions on the movement of one or more animal species (e.g. standstill, ban on housing) and their   |

|   |                           | associated commodities, and on different types of  |
|---|---------------------------|--|
|   |                           | fomites (e.g. people, clothing, vehicles, equipment) (Article 4.19.7.).  |
| 3 | Biosecurity               | To avoid the spread of the pathogenic agent outside the<br>affected establishments or infected zones, strict<br>biosecurity measures should be applied (Article 4.19.9.)<br>(e.g. housing order, netting/fencing, access restriction,<br>disinfection and sanitation, transports, etc.). |
|   |                           | This item includes both the biosecurity measures<br>adopted at farm-time to prevent the<br>introduction/spread of a specific disease, and the<br>extraordinary measures implemented following the<br>identification of outbreaks   |
| 4 | Vaccination and treatment | Vaccination as part of an official control programme<br>should be conducted in accordance with Chapter 4.18.<br>Treatment can also be used as part of an official control<br>programme (Article 4.19.10.).   |
| 5 | Animal<br>Identification  | The combination of the identification and registration of<br>an animal individually, with a unique identifier, or<br>collectively by its epidemiological unit or group, with a<br>unique group identifier (Glossary)   |
| 6 | Animal Traceability       | The ability to follow and animal or group of animals during all stages of its life (Glossary)  |
| 7 | Contact tracing           | Trace-back/trace-forward activities to follow the movements of live animals and other commodities, fomites and people, to demonstrate connection between outbreaks and other establishments (Article 4.4.7).   |
|   |                           | The systematic ongoing collection, collation, and<br>analysis of information related to animal health and the<br>timely dissemination of information so that action can<br>be taken (Glossary).  |
| 8 | Surveillance              | The measure includes both the 'ordinary' surveillance<br>done in peace-time, and extraordinary activities<br>following the detection of specific pathogens. It also<br>includes specific surveillance for susceptible wildlife<br>species and vectors (Chapter 1.4, 1.5).                |
| 9 | Awareness<br>campaigns    | communication through awareness campaigns targeted<br>at all concerned stakeholders, the media, consumers<br>and the general public  |

## Annex B - Quality measure elements

Data quality elements facilitate the assessment and improvement of how effectively a dataset, created by a data producer, fulfils the criteria outlined in the data product specification over time.

The data producer should apply the criteria listed below during the dataset creation process, including a quality evaluation procedure at the dataset production stage.

The inspection for conformance to data product specification's quality evaluation procedure of a received dataset is not specified in this document.

The table below indicates the identified data quality measures and their applicability in this data product specification<sup>14</sup>.

| Data quality element<br>and sub element | Description   | Example  |
|---|---|--|
| Excess item                             | A zone is incorrectly present in the data   | Feature with identical attributes and geometry                                       |
| Missing values                          | Mandatory attributes have<br>missing value  | Information regarding the control measure implemented in the zone is not reported    |
| Logical consistency                     | This data quality measure<br>requires that all items in the<br>dataset must not be stored in<br>conflict with the spatial<br>relationships rules described in<br>paragraph 2.4.3.2. | A zone is not completely within the country boundaries                               |
| Format consistency                      | Physical structure conflict   | Dataset is stored in a wrong file format such as text instead of shapefile or GML.   |
| Invalid slivers                         | Zone defined according to one<br>or more administrative<br>boundaries create slivers with<br>respect to the WAIHS GADM<br>data  | The border of a zone does not align with the borders of the relative WAIHS GADM data |

<sup>&</sup>lt;sup>14</sup> The description of eventually further quality measures will be established according to the pilot outcomes

# Annex C – Styles for the main Zones classification values

### (Informative)

This annex describes various types of layers that are utilized to represent the spatial object types based on the different values:

| Style name          | Zone.InfectedZone.Default  |  |
|---------------------|--|--|
| Spatial object type | zoneType = infectedZone  |  |
| Abstract            | The geometry is rendered with a wine red (#B2182B) fill that has a transparency of 70% along with a solid wine red (#B2182B) outline featuring a stroke width of 2 pixels. |  |
| Rule                | zonetype = 'INFECTED'  |  |
| Example             |  |  |

| Style name          | Zone.ContainmentZone.Default   |  |
|---------------------|--|--|
| Spatial object type | zoneType = ContanimentZone   |  |
| Abstract            | The geometry is rendered with a coral (#EF8A62) fill that has a transparency of      |  |
|                     | 70% along with a solid coral (#EF8A62) outline featuring a stroke width of 2 pixels. |  |
| Rule                | zonetype = 'CONTAINMENT'   |  |
| Example             |  |  |

| Style name          | Zone.FreeZone.Default   |  |
|---------------------|---|--|
| Spatial object type | zoneType = FreeZone   |  |
| Abstract            | The geometry is rendered with a pattens azureish white blue (#D1E5F0) fill that has a transparency of 70% along with a solid pattens azureish white blue (#D1E5F0) outline featuring a stroke width of 2 pixels |  |
| Rule                | zonetype = 'FREE' AND status IS NULL AND subtype IS NULL  |  |
| Example             |   |  |

| Style name          | Zone.ProtectionZone.Default   |
|---------------------|---|
| Spatial object type | zoneType = ProtectionZone   |
| Abstract            | The geometry is rendered with an unbleached silk (#FDDBC7) fill that has a transparency of 70% along with a solid unbleached silk (#FDDBC7) outline featuring a stroke width of 2 pixels. |
| Rule                | zonetype = 'PROTECTION'   |

| Example |  |
|---------|--|
| •       |  |
|         |  |
|         |  |
|         |  |
|         |  |

| Style name          | Zone.FreeZone.NegligibleRisk  |  |
|---------------------|---|--|
| Spatial object type | zoneSubType = NegligibleRiskFreeZone  |  |
| Abstract            | The geometry is rendered with a midnight Blue (#005686) fill that has a transparency of 70% along with a solid blue (#005686) outline featuring a stroke width of 2 pixels. |  |
| Rule                | zonetype = 'FREE' AND subtype = 'NEGLIGIBLE_RISK' AND disease = 29  |  |
| Example             |   |  |

| Style name          | Zone.FreeZone.Proposed   |
|---------------------|--|
| Spatial object type | zoneStatus = ProposedFreeZone  |
| Abstract            | The geometry is depicted using a blue "BDiagonal" texture and is outlined in the |
|                     | same shade of blue (#2B83BA) with a 2-pixel stroke width.                        |
| Rule                | zonetype = 'FREE' AND status = 'PROPOSED'  |
| Example             |  |

| Style name          | Zone.FreeZone.OfficialyRecognised  |
|---------------------|--|
| Spatial object type | zoneStatus = OfficialyRecognised.FreeZone  |
| Abstract            | The geometry is depicted using a blue "Diagonal X" texture and is outlined in the same shade of blue (#2B83BA) with a 2-pixel stroke width.              |
| Rule                | zonetype = 'FREE' AND status = 'OFFICIALLY_RECOGNISED' AND (disease = 58 OR disease = 29 OR disease = 63 OR disease = 35 OR disease = 9 OR disease = 44) |
| Example             |  |

| Style name          | Zone.ProtectionZone.Proposed  |
|---------------------|---|
| Spatial object type | zoneStatus = ProposedProtectionZone   |
| Abstract            | The geometry is rendered with a grey "BDiagonal" texture (#636363) and filled with unbleached silk (#FDDBC7) at 70% transparency. It also features a solid unbleached silk (#FDDBC7) outline with a stroke width of 2 pixels. |
| Rule                | zonetype = 'PROTECTION' AND status = 'PROPOSED'   |

| Example |  |
|---------|--|
|         |  |
|         |  |
|         |  |
|         |  |
|         |  |

| Style name          | Zone.ProtectionZone.OfficialyRecognised   |  |
|---------------------|---|--|
| Spatial object type | zoneStatus = OfficialyRecognised.ProtectionZone   |  |
| Abstract            | The geometry is rendered with a grey "Diagonal X" texture (#636363) and filled with unbleached silk (#FDDBC7) at 70% transparency. It also features a solid patten's unbleached silk (#FDDBC7) outline with a stroke width of 2 pixels. |  |
| Rule                | zonetype = 'PROTECTION' AND status = 'OFFICIALLY_RECOGNISED' AND (disease = 58 OR disease = 29 OR disease = 63 OR disease = 35 OR disease = 9 OR disease = 44)  |  |
| Example             |   |  |

| Style name          | Zone.FreeZone.ControlledRisk   |  |
|---------------------|--|--|
| Spatial object type | zoneSubType = ControlledRiskFreeZone   |  |
| Abstract            | The geometry is rendered with a han blue (#4E6DBD) fill that has a transparency of 70% along with a solid han blue (#4E6DBD) outline featuring a stroke width of 2 pixels. |  |
| Rule                | zonetype = 'FREE' AND subtype = 'CONTROLLED_RISK' AND disease =29  |  |
| Example             |  |  |

| Style name          | Zone.FreeZone.UndeterminedRisk   |  |
|---------------------|--|--|
| Spatial object type | zoneSubType = UndeterminedRiskFreeZone   |  |
| Abstract            | The geometry is rendered with a lapis lazuli blue (#2166AC) fill that has a transparency of 70% along with a lapis lazuli solid blue (#2166AC) outline featuring a stroke width of 2 pixels. |  |
| Rule                | zonetype = 'FREE' AND subtype = 'UNDETERMINED_RISK' AND disease = 29   |  |
| Example             |  |  |



### Annex D – Constraints related to dataset access and use

In the metadata class, it is possible to provide restrictions on the access and use of the related dataset. These constraints can be defined based on one or more of the following items:

| Constraint          | Description  | Example   |
|---------------------|--|---|
| Specific usage      | Description of ways in which the resource is used  | Used to provide WHOA with an up-to-date status and extent of active free zones throughout the country |
| Classification code | The resource can be classified<br>as (I) unclassified, (II) restricted,<br>(III) confidential, (IV) secret   | Confidential  |
| Use limitation      | To provide information on the<br>limitations of the resource<br>usage (i.e. how the resource<br>should not be used)                                    | Should not be used for public consumption   |
| Legal constraint    | Restrictions and legal<br>prerequisites for accessing and<br>using the resource  | User agreement must be signed before accessing the resource   |
| Access constraint   | Constraints are applied to<br>ensure the protection of privacy<br>or to impose any special<br>restrictions or limitations on<br>obtaining the resource | The Resources are subject to the open data and Creative Commons license.                              |



# Annex E – GeoZone shape file schema

### (Informative)

The shapefile format is a geospatial vector data format developed and regulated by ESRI. It is, by far, the most common geospatial file format. All commercial and open source accept shapefiles; therefore, this annex provides a description of a possible implementation of the GeoZone scheme in shape file format.

# **GeoZone Shapefile description**

| <u>SHP</u> attribute<br>name | GeoZone <u>feature</u><br><u>catalogue</u> name | Description                          | Example             |
|------------------------------|---|--------------------------------------|---------------------|
| countryf                     | country   | Country which established the        |                     |
|                              |   | zone. The three-letter code (alpha-  | ITA                 |
|                              |   | 3) of ISO 316 - Country Codes is     |                     |
|                              |   | used define the country code         |                     |
|                              |   | Information about the disease that   |                     |
|                              |   | prompted the decision to establish   |                     |
|                              |   | the zone. WOAH governs the list of   |                     |
| disease                      | disease   | terrestrial animal diseases. The     | 1                   |
|                              |   | value is derived from a disease      |                     |
|                              |   | dictionary code list                 |                     |
| s avian                      |   | Avian                                | 1                   |
| s bee                        |   | Bee                                  | 1                   |
| s bovine                     | -   | Bovine                               | 1                   |
| s equine                     | species   | Equine                               | 1                   |
| s lago                       |   | Lagomorph                            | 1                   |
| s_sh_go                      |   | Sheep and goats                      | 1                   |
| s_swine                      |   | Swine                                | 1                   |
| s_other                      |   | Other animals                        | 1                   |
| s_wild                       |   | Wild                                 | 1                   |
|                              |   | Classification of the zone according |                     |
|                              |   | to the definition included in the    |                     |
|                              | zoneType  | WOAH Terrestrial Code. Refers to     |                     |
|                              |   | the type of zones described in       | PROTECTION          |
| zonetype                     |   | articles 4.4 of the WOAH Terrestrial |                     |
|                              |   | Code. The possible values are:       |                     |
|                              |   | INFECTED, FREE, CONTAINMENT,         |                     |
|                              |   | PROTECTION                           |                     |
|                              | zoneSubtype                                     | Additional classification value that |                     |
|                              |   | further specialises the zone type.   |                     |
| subtype                      |   | For a Bovine spongiform              |                     |
|                              |   | encephalopathy (BSE) zone, and for   |                     |
|                              |   | the zoneType value is "free" the     | BSE_NEGLIGIBLE_RISK |
|                              |   | possible values are                  |                     |
|                              |   | BSE_NEGLIGIBLE_RISK, or              |                     |
|                              |   | BSE_CONTROLLED_RISK, or              |                     |
|                              |   | BSE_UNDETERMINED_RISK                |                     |



Indicates whether the zone boundaries are "accurate" (following the zone boundaries ACCURATE accuracy accuracy exactly) or "inaccurate" (not agreeing with real zone boundaries) Used only for free and protection zones. Specifies whether the zone is at "proposed" status, or is status zoneStatus OFFICIALLY RECOGNISED "officially recognised" by WOAH (this is applied for AHS, BSE, CSF, CBPP, FMD and PPR diseases) Specifies the time when the zone is datebegin legally designated or become 25/12/2023 designationPeriod effective Specifies the time when the zone is dateend 31/03/2024 not no longer effective m stmout Stamping-out measure 1 m mov Movement control measure 1 **Biosecurity measure** m biosec 1 m vactrt Vaccination and treatment measure 1 controlMeasures Animal Identification measure m animidm 1 m antrace Animal Traceability measure 1 1 m ctrace Contact tracing measure m surv Surveillance measure 1 m aware Awareness campaigns measure 1 Identifier published by the data provider. The local Identifier value localid localIdentifier ITA\_AI\_0001 cannot be used as unique identifier of the zone spatial object Used to identify the zone in the real world. If the zone coincides with a geographical unit, the value is equal to the administrative unit's name. If the zone coincides with two or ITALY geographicalName more geographical units, the value geoname is a comma-separated list of the administrative unit's names. If the zone coincides with the whole country, the value is the name of the country Unique Universal Identifier, a 8D8AC610-566Dunique identifier attribute that can uuid 4EF0-9C22be used as a unique worldwide 186B2A5ED793 identifier of a zone feature record Identifies the required operation for a record sent from a Member to the centralised database. Possible INSERT optype operations are Insert, Modify and Delete

### **Operation Type (OPTYPE)**



This attribute is used to facilitate the exchange of data with a centralised repository. It defines the operation performed for the zone.

INSERT = the record contains all the data to insert a new zone;

UPDATE = the record contain all the data representing the new version of an existing record. This record must already be present in the centralised database and have to be identify by the uuid;

DELETE= identify the record that is required to be deleted.

#### **Unique Universal Identifier (UUID)**

This attribute is used to facilitate the exchange of data with a centralised repository. The attribute contains a unique identifier value generated using a combination of an input string (i.e. localid, countryf, zonetype, and disease) and the current timestamp. The value is constructed by creating an MD5 hash of the concatenated input string and timestamp, then formatting parts of this hash into a UUID-like structure. The resulting uuid string follows the typical UUID4 format with sections of 8, 4, 3, 4, and 12 hexadecimal characters, ensuring a high level of uniqueness for each entry.



### Annex E – Use cases

### (Informative)

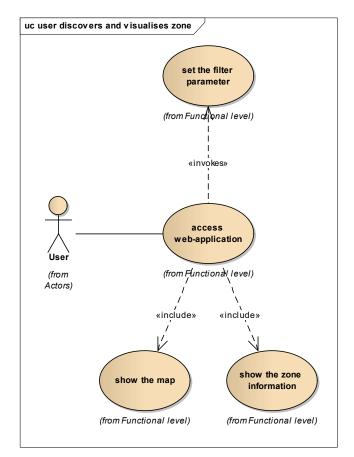
This annex describes the use cases that served as the foundation for the development of requirements analysis. The use cases were developed by a network of WOAH Collaborating Centre during the requirements analysis as part of a WOAH collaborating project titled "GeoZone - Development of a standard for the collection and sharing of zone geographic component".

### 1. User discovers and visualises zones

#### 1.1. User story.

As a user, I want to be able to search for zones of all types by specifying faceted search criteria such as disease and type of zone. I would like to progressively refine my search by adding more criteria. For search results, I would like to view the zone of my interest on a map, along with detailed information describing the specific control/prevention activities and/or regulatory responses implemented in the zone.

1.2: UML use case diagram.



| <b>ID</b> : 1 |                                     |
|---------------|-------------------------------------|
| Name          | User discovers and visualises zones |



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| Actor                                   | User: An individual interested in accessing information about various zones   |  |
|---|---|--|
| Goal                                    | To discover and visualise zones on a map<br>To gather information regarding the type of control/prevention activities and/or<br>regulatory responses implemented in a specific zone   |  |
| Level                                   | User  |  |
| Description                             | The user aims to gather information about zones. They utilized the web-<br>application to search for a specific zone, visualize it on a meaningful map, and<br>collect information regarding the type of control/prevention activities and/or<br>regulatory responses implemented in the selected zone. |  |
| Pre-condition                           | <ul> <li>The web application is online;</li> <li>The user has the capability to interact with the web-application such as selecting the search criteria and choosing the layers to be displayed on the map;</li> <li>The web-application and zone data are stored in the English language.</li> </ul>   |  |
| Post-condition                          | The application displays the map and associates the relevant information with the zone of interest.   |  |
| Relationship<br>with other use<br>cases | None  |  |
| Flow of Events –                        | Successful scenario: discovery and display of the information of a zone   |  |
| Step 1                                  | The user accesses the web-application.  |  |
| Step 2                                  | The user begins to search for zones and the starting point is automatically filtered based on specific search criteria such as disease (e.g. avian influenza), type of zone (e.g. infected), etc.   |  |
| Step 3                                  | The user progressively adjusts their search criteria to further refine the filtered results.  |  |
| Step 4                                  | The user selects a zone.  |  |
| Step 5                                  | The web-application displays a map zoomed in on the selected zone.  |  |
| Step 6                                  | The user can view the zone data, including information such as zone ID, type of zone, date when the zone was implemented and details about the control/prevention activities and/or regulatory responses implemented in the zone, etc.  |  |

#### Establish a new zone

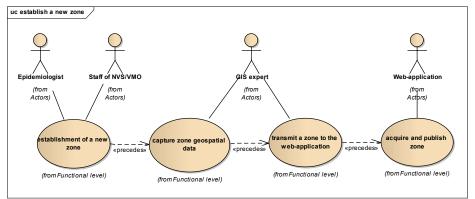
2.1: User story.

The Italian National legislation has established an infected zone for Avian Influenza (AI) by the Italian staff of NVS/VMO. A GIS expert is able to define the zone's geospatial data, such as polygon that represent the extent of the zone, the period of validity for the zone and the measures implemented within the zone through the use of their own GIS application. The GIS expert transmits the zone geospatial data to the web-application. Subsequently, the web-application integrates the received geospatial data into its own database to publish the acquired information.

2.2: UML use case diagram.



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| <b>ID</b> : 2                           |   |
|---|---|
| Name                                    | Establish a new zone  |
| Actor                                   | <ul> <li>GIS expert: Primary actor</li> <li>Staff of NVS/VMO</li> <li>Web-application</li> </ul>  |
| Goal                                    | To publish the established zone into GeoZone.   |
| Level                                   | User  |
| Description<br>Pre-condition            | An Italian GIS expert intends to publish in the web-application the newly established AI infected zone. The expert gathers the necessary information, draws the polygon(s) that represent the zone extent, associates the zone's attributes, and stores the geospatial data into the local geospatial dataset. The GIS expert converts the local zone's geospatial data into the GeoZone data schema and subsequently transmits the transformed geospatial data to the web-application. The web-application receives the incoming geospatial data and publishes the updated zone geospatial data. |
|   | <ul> <li>data;</li> <li>A protocol is established to transform the local geospatial data into the GeoZone data schema</li> <li>A protocol is established to transfer the zone's geospatial data to the web-application;</li> <li>The web-application has implemented functions and protocols to acquire, integrate and publish the incoming zone geospatial data.</li> </ul>  |
| Post-condition                          | The web-application displays the newly established AI zone  |
| Relationship<br>with other use<br>cases | <ul> <li>Transmit zone's data to the web-application;</li> <li>Publish zone's data by means of the web-application.</li> </ul>  |
|   | Successful scenario   |
| Step 1                                  | The GIS expert acquires the necessary information to define the zone geospatial data.   |
| Step 2                                  | The GIS expert, utilizes GIS software and following the established protocol, draws the polygon(s) that represent the zone and stores the associated attributes in the designed platform.   |
| Step 3                                  | The GIS expert, following the defined protocol, generates a set of information that is transferred to the web-application.  |



Step 4

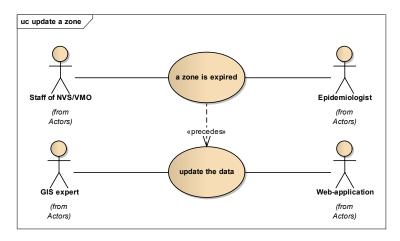
The web-application receives the incoming information and initiates functions and tasks to integrate and publish the acquired data.

### Update zone's data

#### 3.1: User story.

The Italian AI infected zone has expired, prompting the GIS expert to update for this information in the web-application. Using the functionalities provided by the web-application, the GIS expert locates the relevant zone and updates its validity period with the expired date.

3.2: UML use case diagram.



| ID: 3                                   |  |
|---|--|
| Name                                    | Update a zone  |
| Actor                                   | GIS expert: Primary actor<br>Staff of NVS/VMO<br>Web-application   |
| Goal                                    | To update the expired date of a zone already present in the web-application.   |
| Level                                   | Functional   |
| Description                             | An Italian GIS expert aims to update the "expired date" of a zone that is already<br>stored in the GeoZone geospatial dataset. The expert utilizes the web-application<br>to locate the specific zone and make the necessary update to the expired date.<br>Subsequently, the web-application publishes the updated zone status. |
| Pre-condition                           | <ul> <li>The zone that requires updating is already present in the database of the web-application;</li> <li>The web-application has implemented functions and protocols to search for and update zone data.</li> </ul>  |
| Post-condition                          | The web-application visually represents the updated status of the AI zone using the "expired" portrayal theme.   |
| Relationship<br>with other use<br>cases | None   |



| Flow of Events – Successful scenario |  |
|--------------------------------------|--|
| Step 1                               | The GIS expert receives the required information to update the zone's geospatial data. |
| Step 2                               | The GIS expert, utilizes the web-application to search for the zone.                   |
| Step 3                               | The GIS expert updates the expiration date value of the zone.                          |
| Step 4                               | The web-application publishes the updated zone's status.                               |

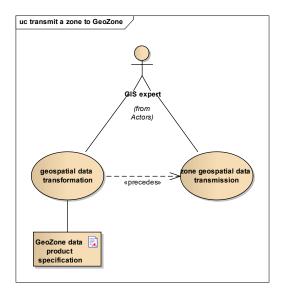
This use case assumes that the web-application has a functionality that enables the updating of the zone's data.

### Upload zone's data to the web-application

#### 4.1: User story.

The GIS expert is responsible for transmitting the updated zone's geospatial data to the web-application. Following a defined protocol, the GIS expert converts the local zone's geospatial data into the GeoZone data schema and generates the necessary set of information as per the protocol. The set of information is then transmitted to the web-application.

4.2: UML use case diagram.



| ID: 4         |  |
|---------------|--|
| Name          | Transmit a zone to GeoZone   |
| Actor         | GIS expert: Primary actor  |
| Goal          | To transmit a zone to web-application  |
| Level         | Functional   |
| Description   | Protocol set up by a GIS expert to transmit zone geospatial data to the web-<br>application. |
| Pre-condition | - The zone geospatial data are stored in the local work area of the GIS expert;              |



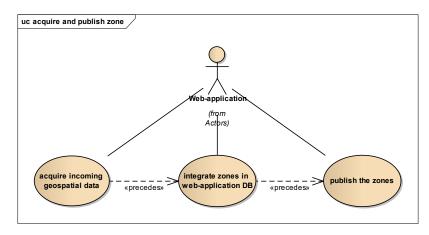
|                                      | <ul> <li>A local level protocol is established to transform the geospatial data stored in<br/>the local work area into the GeoZone data product schema;</li> <li>A protocol is established to transmit the transformed zone geospatial data to<br/>the web-application.</li> </ul> |  |
|--------------------------------------|--|--|
| Post-condition                       | The web-application acknowledges that the transmitted data have been successfully acquired.  |  |
| Relationship                         | Establish a new zone   |  |
| with other use                       |  |  |
| cases                                |  |  |
| Flow of Events – Successful scenario |  |  |
| Step 1                               | The GIS expert follows the protocol to generate the set of information as defined  |  |
|                                      | by the GeoZone data product specification.   |  |
| Step 2                               | The GIS expert transmits the set of information to the web-application.  |  |
| Step 3                               | The web-application successfully receives the set of information.  |  |

### Publish zone's data by means of the web-application

#### 5.1: User story.

The web-application system acquires the incoming information and subsequently initiates the process to verify, integrate and publish the received data.

5.2: UML use case diagram.



| <b>ID</b> : 5 |   |
|---------------|---|
| Name          | Publish zone's data   |
| Actor         | Web-application: Primary actor  |
| Goal          | Publish zones using the web-application.  |
| Level         | Functional  |
| Description   | Process to acquire and publish zone geospatial data.                                      |
| Pre-condition | A protocol is established to acquire, verify, integrate and publish zone geospatial data. |



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|-----|---------------|---------|--------|-----|------|------------|-------|---------|----------|--|
|     | and           | control | of     | eme | rgin | g          | avian | diseas  | es       |  |

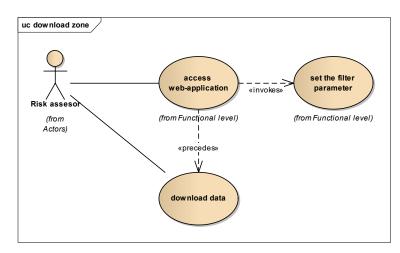
| Post-condition     | The web-application successfully publishes the zone geospatial data.                     |  |  |  |
|--------------------|--|--|--|--|
| Relationship       | Transmit a zone to the web-application.  |  |  |  |
| with other use     |  |  |  |  |
| cases              |  |  |  |  |
| Flow of Events – S | Flow of Events – Successful scenario – acquire and publish geospatial data of a new zone |  |  |  |
| Step 1             | The web-application receives a set of geospatial data.                                   |  |  |  |
| Step 2             | The web-application verifies the data compliance with the GeoZone data schema.           |  |  |  |
| Step 3             | The web-application verifies the quality of the received data.                           |  |  |  |
| Step 4             | The web-application successfully stores the received data in its database.               |  |  |  |

### Download zone's data

#### 6.1: User story.

As a risk analyst, your goal is to incorporate information regarding the presence of AI infected zones into your standalone application. You require the functionality to list and search for zones based on textual specification such as Member, disease, as well as geographic search criteria. Additionally, you want the ability to progressively narrow down your search by incrementally adding more criteria clauses. You would like to have the ability to select specific zones and download the chosen selection in the standalone application. You expect clear communication of any terms & conditions (T&C) associated with the use of the zone's data in your standalone application. It is important to provide you with the opportunity e to review and accept the T&C before proceeding with the download of the data.

#### 6.2: UML use case diagram.



6.3: Use case description table.

To streamline description, certain steps of the use-case are not reproduced here – instead, the case "user discovers and visualises zones" should be referenced, considering the deployment of the web-application access, parameter setting and visualization of zones through maps and attribute information.

| <b>ID</b> : 6 |                             |
|---------------|-----------------------------|
| Name          | Download zone's data        |
| Actor         | Risk analyst: Primary actor |



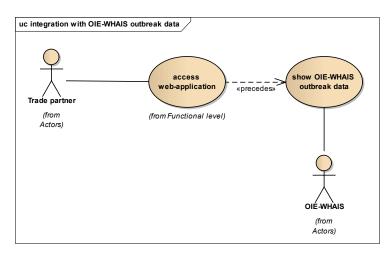
| Goal  | To download zones using the web-application.  |
|---|---|
| Level   | Behavioural   |
| Description   | Process to choose and download zone geospatial data.  |
| Pre-condition   | The web-application includes functionality that enables the selection of one or more zones. |
| Post-condition  | The web-application has made the zone geospatial data available for download.               |
| Relationship  | The user discovers and visualises zones.  |
| with other use  |   |
| cases   |   |
| Flow of Events - Successful scenario - The risk analyst selects and downloads zone's geospatial dat |   |
| Step 1  | The risk analyst initiates the search for zones by utilizing the available section tools.   |
| Step 2  | The risk analyst needs to download the data.  |
| Step 3  | The risk analyst reviews the T&Cs associated to the selected data and proceeds to           |
|   | accept the terms.   |
| Step 4  | The risk analyst downloads the file prepared by the web-application.                        |
| -   | accept the terms.   |

### Integration with WOAH-WAHIS outbreak data

7.1: User story.

As an Italian trade partner, I'm interested in obtaining current information about the AI disease situation/status in Italy. Therefore, I would like to visualize the AI infected zones in Italy on a map, along with the Italian AI outbreaks stored in WOAH-WAHIS.

7.2: UML use case diagram.



7.3: Use case description table.

To a streamline the description, certain steps of the use-case are not reproduced here – instead, the case "user discovers and visualises zones" should be referenced, considering the deployment of the web-application access, parameter setting and visualization of zones through maps and attribute information.



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| Name                                    | integration with WOAH-WAHIS outbreak data  |
|---|--|
| Actor                                   | Trade partner: Primary actor   |
| Goal                                    | To visualize in the web-application the information about zones, along with the outbreak information provided by WOAH-WAHIS.   |
| Level                                   | behavioural  |
| Description                             | Process to involve incorporating information about the outbreaks into the zone map.  |
| Pre-condition                           | WOAH-WAHIS offers a web service that publishes information about active outbreaks for a specific disease and Member.   |
|   | WOAH-WAHIS has provided updated information regarding outbreaks that are included in the GeoZone data.   |
| Post-condition                          | WOAH-WAHIS data is displayed in the web-application.   |
| Relationship<br>with other use<br>cases | The user discovers and visualises zones.   |
| Flow of Events – S                      | Successful scenario – WOAH-WAHIS outbreak data is displayed on the map   |
| Step 1                                  | The trade partner begins to search for disease, type of zone (such as infected), and Member utilizing the available filter tools.  |
| Step 2                                  | The trade partner activates the WOAH-WAHIS outbreak layer in the table of contents window.   |
| Step 3                                  | The web-application retrieves the WOAH-WAHIS outbreak features through web services (e.g. WMS, WFS), filtered based on the parameters defined by the trade partner.  |
| Step 4                                  | The web-application visualizes the filtered zones and the corresponding outbreak features obtained from WOAH-WHAIS, presenting them together on the map. Optionally, the trade partner is provided with the opportunity to view the outbreak information from WOAH-WAHIS, including details such as status, animal category and total cases. |

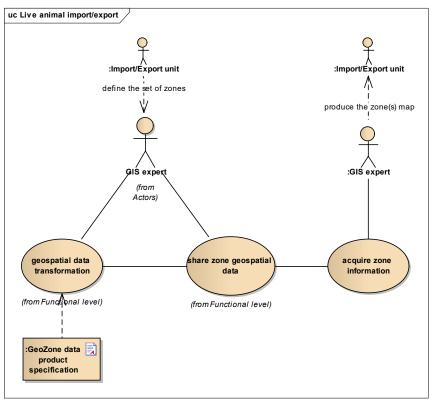
### Live Animal Import/ Export

8.1: User story.

As Live Animal Import/ Export Unit I'm interested in verifying the proximity of a shipment's origin to a zone/ region under HPAI movement restrictions. This would not require premises within the affected area to be identified by name or exact location and could allow for customization of the zone/ region surveyed based on animal movement (trade) agreements.

8.2: UML use case diagram.





| <b>ID</b> : 8                  | ID: 8   |  |  |
|--------------------------------|---|--|--|
| Name                           | Share zone geospatial data with a trade partner   |  |  |
| Actor                          | Import/export unit: Primary actor   |  |  |
| Goal                           | To acquire zone(s) geospatial data in order to evaluate the zone spatial distribution in a trade partner's territory. |  |  |
| Level                          | User level  |  |  |
| Description                    | Process to acquire zone maps to be integrated in the animal import/export dossier                                     |  |  |
| Pre-condition                  | The exporter partner has some zone geospatial data to share with a trade partner.                                     |  |  |
|                                | The importer partner needs zone geospatial data to fulfil its trade dossier.  |  |  |
| Post-condition                 | The importer unit has the zone maps.  |  |  |
| Relationship<br>with other use | Geospatial transformation.  |  |  |
| cases                          |   |  |  |
| Flow of Events –               | Successful scenario – the importer trade unit has zone(s) displayed on the map  |  |  |
| Step 1                         | The exporter trade unit begins to set the list of zone to share with the trade  |  |  |
|                                | partner.  |  |  |
| Step 2                         | The exporter trade partner produces the zone(s) dataset and shares the dataset  |  |  |
|                                | with the trade partner.   |  |  |
| Step 3                         | The importer trade partner acquires the zone geospatial data.   |  |  |
| Step 4                         | The imported GIS expert produces the zone maps according to the Import/export unit needs.                             |  |  |